

# CSC 401

## Lectures on **Analysis of Algorithms**

by

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# CSC 401

## Lecture 8 Sorting

**Running Times, Lower Bounds,  
Optimality**

# Sorting

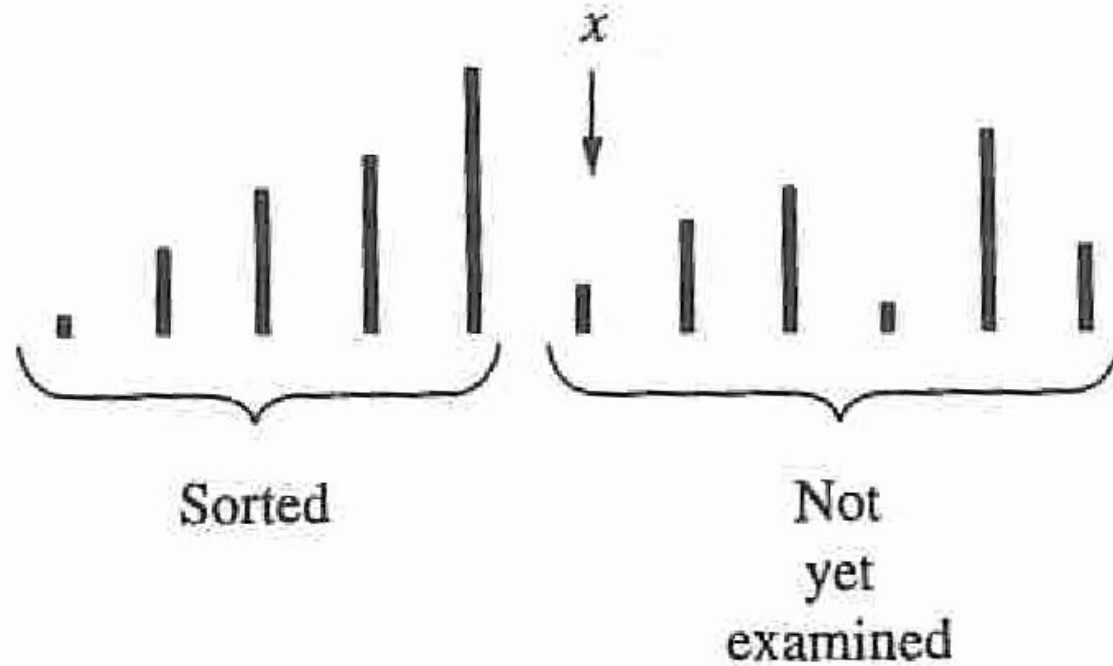
## Insertion Sort

# Insertion Sort



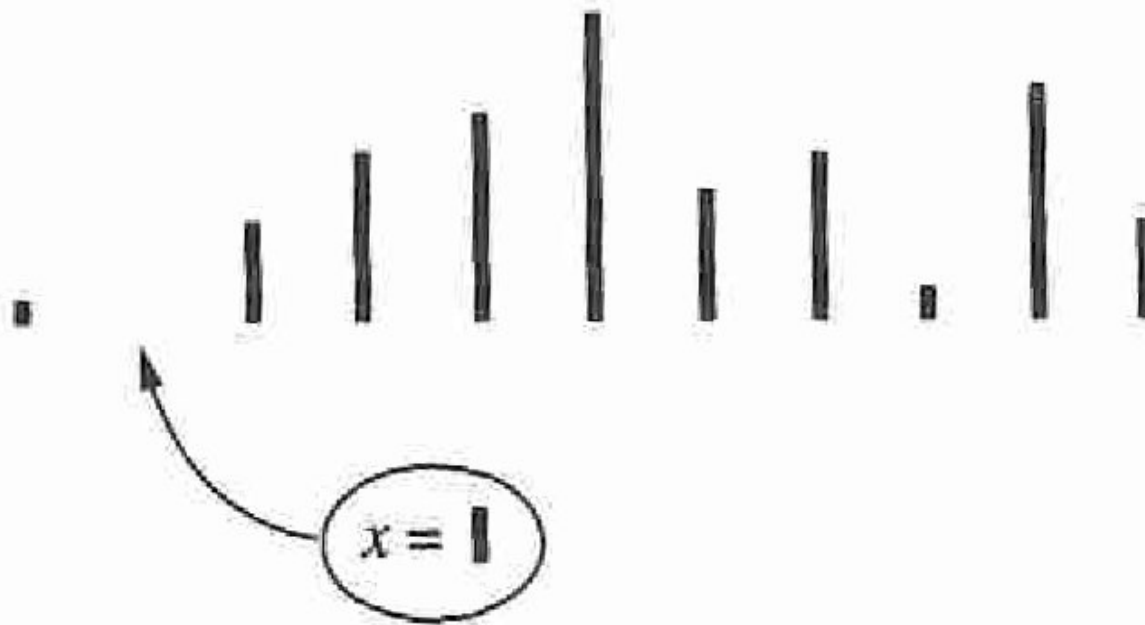
Figure 4.1 Unsorted elements

# Insertion Sort



**Figure 4.2** Partially sorted elements

# Insertion Sort



**Figure 4.3** Insertion of  $x$  in proper order

# Insertion Sort

```
84
85     public static void insertionSort(int[] E)
86     {
87         int n = E.length;
88         if (n < 2) return;
89         for (int i = 1; i < n; i++)
90         {
91             int x = E[i];
92             E[shiftVacant(E, i, x)] = x;
93         }
94     }
95
```



# Insertion Sort

```
96  
97 private static int shiftVacant(int[] E, int vacant, int x)  
98 {  
99     while ((vacant > 0) && ((E[vacant - 1] > x) & Bcnt.incr()))  
100     {  
101         E[vacant] = E[vacant - 1];  
102         Bcnt2.incr();  
103         vacant --;  
104     }  
105     return vacant;  
106 }  
107
```

# Insertion Sort

## Main results

# Insertion Sort Worst Case

$$T(n) = \frac{1}{2} n(n-1)$$

# Insertion Sort Avg Case

$$T_{avg}(n) \approx \frac{1}{4} n (n + 3) - \log[n] - 0.577216$$

# Bounds in Class

C – a class of sorting algorithms that sort by comparisons of keys and remove at most one inversion after each comparison

# Upper Bounds in Class

InsertionSort provides upper bounds for worst-case and average-case number of comparisons in class C.

# Worst-case Lower Bound

Theorem 1. Every algorithm in class C must perform at least  $\frac{n(n-1)}{2}$  comparisons in the worst case.

# Average-case Lower Bound

Theorem 2. Every algorithm in class  $C$  must perform at least  $\frac{n(n-1)}{4}$  comparisons in the average case.



# Average-case Lower Bound +

Theorem. While sorting based only on comparisons of keys, each algorithm in class  $\mathcal{C}$  must perform at least  $\frac{(n-1)(n+2)}{4}$  comparisons.

# Insertion Sort Run

```
***** 100 *****
Sorting Array[100]
Array had = 2404 inversions
1 2 10 15 21 24 25 29 34 35 39 40 46 55 59 62 74 100 106 112 116 137 144 162 169 182 186 191 192 215 219 2
comps(100) = 2502
shifts(100) = 2404
comps(100) - inversions(100) = 98
N - Math.log(N) - 0.577216 = 94.8176138140119
Sorting ReversedArray[100]
ReversedArray had = 2546 inversions
1 2 10 15 21 24 25 29 34 35 39 40 46 55 59 62 74 100 106 112 116 137 144 162 169 182 186 191 192 215 219 2
comps(100) = 2642
shifts(100) = 2546
comps(100) - inversions(100) = 96
  4950 = N*(N-1)/2
  5049 = (N+2)*(N-1)/2
  2475 = N*(N-1)/4
Comparisons in both: 5144
Theoretic average number of comps over all arrays of size 100 for InsertionSort = 2569.812613814012
2 * Theoretic average number of comps over all arrays of size 100 for InsertionSort = 5139.625227628024
BUILD SUCCESSFUL (total time: 1 second)
```

# Insertion Sort Run

```
***** 100 *****
Sorting Array[100]
Array had = 0 inversions
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38
comps(100) = 99
shifts(100) = 0
comps(100) - inversions(100) = 99
N - Math.log(N) - 0.577216 = 94.8176138140119
Sorting ReversedArray[100]
ReversedArray had = 4950 inversions
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38
comps(100) = 4950
shifts(100) = 4950
comps(100) - inversions(100) = 0
    4950 = N*(N-1)/2
    5049 = (N+2)*(N-1)/2
    2475 = N*(N-1)/4
Comparisons in both: 5049
Theoretic average number of comps over all arrays of size 100 for InsertionSort = 2569.812613814012
2 * Theoretic average number of comps over all arrays of size 100 for InsertionSort = 5139.625227628024
BUILD SUCCESSFUL (total time: 1 second)
```

# Sorting

